

ANN MARIE KLINGENHAGEN

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EDUCATION

Master of Arts, Economics, University of Illinois at Chicago, 2004.

M.B.A., Finance, Eastern Michigan University, Ypsilanti, MI. Evening program while employed full time, 1980.

Bachelor of Arts, summa cum laude, French, Seton Hall University, South Orange, NJ, Modern Language Honors, 1975. Junior year abroad in Paris and at Université de Picardie, Amiens, France.

PROFESSIONAL and ACADEMIC EXPERIENCE

DEPAUL UNIVERSITY, Chicago, IL

1999 to present

Instructor, Department of Finance

Teach core undergraduate courses *Introduction to Finance, Corporate Finance, Money & Banking* and elective course *Investment Banking*.

- Created and taught Finance for Non-Business Majors, Personal Finance, Microfinance Independent Study, and an International Study Seminar to France (2006, 2007).
- Recipient of *Lawrence W. Ryan Distinguished Teaching Award* in 2001, 2003, 2005.
- Incorporated financial literacy service learning projects in Money & Banking courses.
- Faculty advisor to Student Finance Association and student Driehaus Virtual Portfolio Competition.
- Service Activities: College of Commerce Teaching Committee, Finance Department undergraduate curriculum and scholarship committees, Chair of Driehaus Competition Committee, Finance Department Strategic Planning, Center for Financial Services programs committee.

ECOLE SUPERIEURE DE COMMERCE, Amiens France

2007 to 2012

Visiting Instructor, Finance

Developed and teach 18-hour case study-based course in Business Valuation for ESC-3 MBA students, one session each year since 2007.

ECOLE SUPERIEURE DE COMMERCE, Dijon, France

Sept. to Dec. 2005

Visiting Instructor, Finance

Taught 2nd and 3rd year MBA-level degree courses in Financial Strategy/Operations and Corporate Finance.

COLLEGE OF DUPAGE, Glen Ellyn, IL

1991 to 1992

LOYOLA UNIVERSITY OF CHICAGO

1999

Adjunct Instructor

Taught Principles of Finance, Investments, Introduction to Business, Business Statistics. Developed course content, syllabus and selected text and study aids for the Investments course which had not been offered in several years. Received consistently high instructor evaluation ratings from students.

MORGAN STANLEY & CO., Chicago, IL

1998 to 1999

Global investment banking and financial services firm

Associate, Private Client Services

Investment associate for high net worth individuals, families and foundations. Emphasis on delivery of total wealth management services with a highly personalized, top quality client service orientation.

VAN KAMPEN AMERICAN CAPITAL (now Invesco Funds), a \$70 billion (assets) money management firm

Vice President and National Account Manager

1992 to 1998

Developed and strengthened relationships at 20 key bank brokerage client firms (money center and large regional banks, third party marketing firms) to increase packaged product sales and penetration. Coordinated client management teams, sales management and wholesalers to implement marketing and promotional programs, new product rollouts and "value-added" services.

- Increased client base by 25%; increased product sales by 40%
- In consultation with client firms, initiated and developed targeted marketing materials, sales campaigns and service programs, including highly successful 2-day proprietary Xerox-based Sales Training.
- Successfully planned and executed annual Mutual Fund Coordinators due diligence conference.
- Developed and implemented comprehensive due diligence proposal process.
- Represent Financial Institutions Division firmwide on relevant issues (marketing, legal/compliance, new product development, investment advisory).

EXCHANGE NATIONAL BANK/LASALLE NATIONAL BANK, Chicago, IL

1988 to 1990

Vice President, Financial Center

Implemented major funding strategy by organizing and managing a new unit with a staff of nine serving high net worth individuals, offering full range of fixed income securities, money markets, mutual funds, unit investment trusts, deposit products and other bank services. Heavy emphasis on relationship banking and cross-selling to clients of private and commercial banking divisions. Raised over \$32 million in core deposits in first full year (240% of goal). Generated \$400,000 fee income. Attracted \$103 million new money to the bank in deposits and securities sales. Implemented bankwide cross-sell program that resulted in 370 first-year referrals totaling over \$78 million.

CITIZENS BANK, Flint, MI, lead bank of a \$2.3 billion regional multibank holding company

Vice President, Retail Investments: Managed bank brokerage services

1987 to 1988

Vice President, Strategic Planning: corporate strategic and financial analysis and planning

1984 to 1987

Investment Portfolio Manager and Research Analyst, Trust Division

1981 to 1984

RESEARCH and OTHER CREDENTIALS

Research Paper: *Be Careful What You Wish For: The Stock Market Reactions to Bailing Out Large Financial Institutions - Evidence from the United States*, with Elijah Brewer III; published in Journal of Financial Regulation and Compliance, February 2010.

Session Chair: *Bankrupt Firms as Investments* and Discussant: *Investing in Bankrupt Stocks: Is It a Sweet Trick?* By Min Xu, U. of Detroit Mercy and Ben Branch, U. of Massachusetts Amherst, Midwest Finance Association Annual Meeting, March 2011.

Consultant and lecturer on financial literacy, economic education. Organized Economic Education Seminar for high school teachers in association with Chicago Securities Industry Association, 2004.

Certified Financial Planner® certificant since 1995.

Member, American Finance Association, Midwest Finance Association, Financial Planning Association.

Treasurer, Board of Directors, Chicago Financial Women's Association.

Member, Board of Directors, Eugenie Park Condominium Association.

Volunteer, Financial Literacy programs, Deborah's Place Women's Residence, Chicago, 2009-2010.

Securities Industry Institute, Securities Industry Association at The Wharton School, 1995-1997.

Diplôme Supérieur de Français des Affaires, Chambre de Commerce et d'Industrie de Paris, 1990.

Conversant in spoken and written French.